



PATENT APPLICATION  
Docket No: 15436.247.13.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)	
	Donald A. Ice	)	
Serial No.:	10/685,913	)	Art Unit
Confirmation No.:	6303	)	2833
Filed:	October 15, 2003	)	
For:	XFP TRANSCEIVER BAIL	)	
Examiner:	Tho Dac Ta	)	

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record

believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

DATED July 19, 2004.

Respectfully submitted,



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Attorney for Applicant  
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ELM: caj  
CAJ0000000103V001

Applicant: Donald A. Ice

Serial No.: 10/685,913

Att'y Docket No.: 15436.247.13.1

Filing Date: 10/15/2003

Group: 2833

For: XFP TRANSCEIVER BAIL

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Issue Date</u>	<u>Name</u>
___ 1	4,083,619	Apr. 11, 1978	McCormick et al.
___ 2	4,387,956	Jun. 14, 1983	Cline
___ 3	4,678,264	Jul. 7, 1987	Bowen et al.
___ 4	4,798,430	Jan. 17, 1989	Johnson et al.
___ 5	5,039,194	Aug. 13, 1991	Block et al.
___ 6	5,069,522	Dec. 3, 1991	Block et al
___ 7	5,071,219	Dec 10, 1991	Yurtin et al.
___ 8	5,195,897	Mar. 23, 1993	Kent et al.
___ 9	5,202,943	Apr. 13, 1993	Carden et al.
___ 10	5,256,080	Oct. 26, 1993	Bright
___ 11	5,329,428	Jul. 12, 1994	Block et al.
___ 12	5,416,871	May 16, 1995	Takahashi et al.
___ 13	5,487,678	Jan. 30, 1996	Tsuji et al.
___ 14	5,528,408	Jun. 18, 1996	McGinley et al.
___ 15	5,546,281	Aug. 13, 1996	Poplawski et al.
___ 16	5,561,727	Oct. 1, 1996	Akita et al.
___ 17	5,596,665	Jan. 21, 1997	Kurashima et al.

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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_____ 18	5,734,558	Mar. 31, 1998	Poplawski et al.
_____ 19	5,738,538	Apr. 14, 1998	Bruch et al.
_____ 20	5,767,999	Jun. 16, 1998	Kayner
_____ 21	5,820,398	Oct. 13, 1998	Stabroth et al.
_____ 22	5,879,173	Mar. 9, 1999	Poplawski et al.
_____ 23	5,901,263	May 4, 1999	Gaio et al.
_____ 24	5,931,290	Aug. 3, 1999	Wehrli, III et al.
_____ 25	5,947,435	Sep. 7, 1999	Small
_____ 26	5,980,324	Nov. 9, 1999	Berg et al.
_____ 27	6,050,658	Apr. 18, 2000	O'Sullivan et al.
_____ 28	6,069,991	May 30, 2000	Hibbs-Brenner et al.
_____ 29	6,074,228	Jun. 13, 2000	Berg et al.
_____ 30	6,081,431	Jun. 27, 2000	Lemke
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_____ 32	6,142,802	Nov. 7, 2000	Berg et al.
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_____ 34	6,149,465	Nov. 21, 2000	Berg et al
_____ 35	6,169,295	Jan. 2, 2001	Koo
_____ 36	6,229,708	May 8, 2001	Corbin, Jr. et al.
_____ 37	6,231,145	May 15, 2001	Liu
_____ 38	6,259,769	Jul. 10, 2001	Page et al.
_____ 39	6,430,053	Aug. 6, 2002	Peterson et al.

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_____ 40	6,434,015	Aug 13, 2002	Hwang
_____ 41	6,439,918	Aug. 27, 2002	Togami et al.
_____ 42	US2002/0142649	Oct. 3, 2002	Baugh et al.
_____ 43	US2002/0150343	Oct. 17, 2002	Chiu et al.
_____ 44	6,494,623	Dec. 17, 2002	Ahrens et al.
_____ 45	US2003/0020986	Jan. 30, 2003	Pang et al.
_____ 46	6,517,382	Feb. 11, 2003	Flickinger et al.
_____ 47	6,524,134	Feb. 25, 2003	Flickinger et al.
_____ 48	US2003/0044129	Mar. 6, 2003	Ahrens et al.
_____ 49	6,532,155	Mar. 11, 2003	Green et al.
_____ 50	6,533,603	Mar. 18, 2003	Togami
_____ 51	6,538,882	Mar. 25, 2003	Branch et al.
_____ 52	US2003/0059167	Mar. 27, 2003	Chiu et al.
_____ 53	6,544,055	Apr. 8, 2003	Branch et al
_____ 54	6,556,445	Apr. 29, 2003	Medina
_____ 55	6,554,622	Apr. 29, 2003	Engel et al.
_____ 56	6,570,768	May 27, 3003	Medina
_____ 57	US2003/0100204	May 29, 2003	Hwang

Foreign Patent Documents

<u>Examiner</u> <u>Initial*</u>	<u>Document</u> <u>Number</u>	<u>Publication</u> <u>Date</u>	<u>Country or</u> <u>Patent Office</u>
_____ 58	0442608	Jan. 15, 2001	Yingst.

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\_\_\_\_ 59 0456298 Nov. 13, 1991 Harding  
\_\_\_\_ 60 JP4165312 Jun. 11, 1992 Yuzo  
\_\_\_\_ 61 GB2297007 Jul. 7, 1996 Poplawski et al.  
\_\_\_\_ 62 DE20005316 Sep. 7, 2000 Siemens

Other Documents

(including author, title, pertinent pages, etc.)

Examiner

Initial\*

- \_\_\_\_ 63 Article Titled "Fiber Optic Module Interface Attachment", October 1991, C. Baldwin, Published by Kenneth Mason Publication Ltd, England.  
\_\_\_\_ 64 Article Titled "Field Replaceable Optical Link Card", February 1994, IBM, pgs 47-49.  
\_\_\_\_ 65 Article Titled "Small Form Factor Pluggable (SFP) Transceiver Multisource Agreement (MSA)", Agilent Technologies et al., September 14, 2000, pgs 1-38.  
\_\_\_\_ 66 Article Titled "CD Laser Optical Data Links for Workstations and Midrange Computers", Ronald L. Soderstrom et al., IBM Business Systems, 5pgs.

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if

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it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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